

1 DAVID R. ZARO (BAR NO. 124334)
2 TED FATES (BAR NO. 227809)
3 ALLEN MATKINS LECK GAMBLE
4 MALLORY & NATSIS LLP
5 515 South Figueroa Street, Ninth Floor
6 Los Angeles, California 90071-3309
7 Phone: (213) 622-5555
8 Fax: (213) 620-8816
9 E-Mail: dzaro@allenmatkins.com
10 tfates@allenmatkins.com

11 Attorneys for Receiver
12 Thomas A. Seaman

13 **UNITED STATES DISTRICT COURT**
14 **NORTHERN DISTRICT OF CALIFORNIA**

15 SECURITIES AND EXCHANGE
16 COMMISSION,

17 Plaintiff,

18 vs.

19 SMALL BUSINESS CAPITAL CORP.;
20 MARK FEATHERS; INVESTORS
21 PRIME FUND, LLC; and SBC
22 PORTFOLIO FUND, LLC,

23 Defendants.

Case No. CV12-03237

**NOTICE OF HEARING ON
INTERIM FEE APPLICATIONS FOR
APPROVAL AND PAYMENT OF
COMPENSATION TO RECEIVER
AND HIS PROFESSIONALS**

Date: June 30, 2016
Time: 9:00 a.m.
Ctm: 4 - 5th Floor
Judge: Hon. Edward J. Davila

1 **PLEASE TAKE NOTICE** that on June 30, 2016, at 9:00 a.m. in Courtroom 4,
 2 5th Floor, of the United States District Court, 280 South 1st Street, San Jose,
 3 California, 95113, the Court will consider the interim applications of Thomas A.
 4 Seaman ("Receiver"), Court-appointed permanent receiver for Small Business Capital
 5 Corp., Investors Prime Fund, LLC, SBC Portfolio Fund, LLC and their subsidiaries
 6 and affiliates, and his counsel, for approval and payment of fees and costs.

7 The following table summarizes the fees incurred, interim payment requested,
 8 and costs requested for the period August 1, 2015 through October 31, 2015:

9 Applicant and Role	10 Fees Incurred	11 Interim Payment Requested	12 Costs	13 Total Payment Requested
14 Thomas A. Seaman, Receiver	\$92,919.50	\$83,627.55 (90%)	\$0.00	\$83,627.55
15 Allen Matkins Leck Gamble Mallory & Natsis LLP, General Counsel	\$157,010.40	\$105,346.50 (266.70 hrs. x \$395)	\$1,488.81 (100%)	\$106,835.31

16 This notice along with the full fee applications are posted on the Receiver's
 17 website (www.sbcapitalreceiver.com). A hard copy of the applications can also be
 18 obtained by emailing a request to the Receiver through the website or by sending a
 19 written request to the Receiver at 3 Park Plaza, Suite 550, Irvine, California 92614.

20 If you oppose the applications, you are required to file your written opposition
 21 with the Office of the Clerk, United States District Court, 280 South 1st Street,
 22 San Jose, California, 95113, and serve the same on the undersigned not later than
 23 fourteen (14) days after the motion was filed.

24 Dated: January 21, 2016

ALLEN MATKINS LECK GAMBLE
MALLORY & NATSIS LLP

By: /s/ Ted Fates

TED FATES
Attorneys for Receiver
Thomas A. Seaman