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1 2 3 4 5 6 7	DAVID R. ZARO (BAR NO. 124334) EDWARD G. FATES (BAR NO. 227809) ALLEN MATKINS LECK GAMBLE MALLORY & NATSIS LLP 515 South Figueroa Street, Ninth Floor Los Angeles, California 90071-3309 Phone: (213) 622-5555 Fax: (213) 620-8816 E-Mail: dzaro@allenmatkins.com tfates@allenmatkins.com	
8		S DISTRICT COURT
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10		ICT OF CALIFORNIA
11	SAN JOSE	E DIVISION
12	SECURITIES AND EXCHANGE COMMISSION,	Case No. CV12-03237
13	Plaintiff,	NOTICE OF HEARING ON FEE APPLICATIONS FOR APPROVAL AND PAYMENT OF COMPENSATION TO
14	VS.	RECEIVER AND HIS PROFESSIONALS
15	SMALL BUSINESS CAPITAL CORP.; MARK FEATHERS; INVESTORS PRIME	Date: October 20, 2016 Time: 9:00 a.m.
16	FUND, LLC; and SBC PORTFOLIO FUND, LLC,	Ctrm: 4 - 5th Floor Judge: Hon. Edward J. Davila
17	Defendants.	
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LAW OFFICES

Allen Matkins Leck Gamble
Mallory & Natsis LLP

Case No. CV12-03237 NOTICE OF HEARING ON FEE APPLICATIONS 2 | 5th 3 | Co 4 | red 5 | an

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5th Floor, of the United States District Court, 280 South 1st Street, San Jose, California, 95113, the Court will consider the applications of Thomas A. Seaman ("Receiver"), Court-appointed permanent receiver for Small Business Capital Corp., Investors Prime Fund, LLC, SBC Portfolio Fund, LLC and their subsidiaries and affiliates, and his counsel, for approval and payment of fees and costs.

PLEASE TAKE NOTICE that on October 20, 2016, at 9:00 a.m. in Courtroom 4,

The following table summarizes the fees incurred, payment requested, and costs requested for the period November 1, 2015 through June 20, 2016:

Applicant	Fees Incurred	Payment Requested	Costs	Total Payment Requested
Thomas A. Seaman, Receiver	\$157,942.00	\$157,942.00 (100%)	\$0.00	\$157,942.00
Allen Matkins Leck Gamble Mallory & Natsis LLP, General Counsel	\$173,267.10	\$109,138.50 (276.30 hrs. x \$395)	\$1,484.84 (100%)	\$110,623.34

In addition, the Receiver and Allen Matkins seek final approval of fees paid on an interim basis from their 13 interim fee applications, plus payment of all holdback amounts from interim fee applications as follows:

Applicant	Total Fees Incurred <sup>1</sup>	Total Interim Payments <sup>2</sup>	Total Holdback Amount <sup>3</sup>
Thomas A. Seaman, Receiver	\$2,793,927.50	\$2,030,224.30	\$605,761.20
Allen Matkins Leck Gamble Mallory & Natsis LLP, General Counsel	\$1,753,855.15	\$1,243,243.63	\$510,611.52

Allen Matkins also seeks approval and payment of up to \$15,000 for the cost to prepare an *ex parte* application for order shortening time, the anticipated reply to Defendant Mark Feathers' opposition, and to attend the hearing (which amount will be updated prior to and/or at the hearing).

<sup>2627</sup> 

The Twelfth Interim Fee Applications are pending approval (set for hearing on June 30, 2016), but are included in these amounts. The Thirteenth Fee Applications are also included herein.

Includes interim fees requested in the Twelfth and Thirteenth Interim Fee Applications.

Includes proposed holdback amounts from Twelfth and Thirteenth Interim Fee Applications.

## Case 5:12-cv-03237-EJD Document 1167 Filed 06/23/16 Page 3 of 3

This notice along with the full fee applications are posted on the Receiver's website 1 (www.sbcapitalreceiver.com). A hard copy of the applications can also be obtained by emailing a 2 3 request to the Receiver through the website or by sending a written request to the Receiver at 3 Park Plaza, Suite 550, Irvine, California 92614. 4 5 If you oppose the applications, you are required to file your written opposition with the Office of the Clerk, United States District Court, 280 South 1st Street, San Jose, California, 95113, 6 7 and serve the same on the undersigned not later than fourteen (14) days after the motion was filed. 8 Dated: June 23, 2016 ALLEN MATKINS LECK GAMBLE 9 MALLORY & NATSIS LLP 10 By: /s/ Edward Fates 11 EDWARD G. FATES Attorneys for Receiver 12 Thomas A. Seaman 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28

LAW OFFICES

Allen Matkins Leck Gamble
Mallory & Natsis LLP

Case No. CV12-03237 NOTICE OF HEARING ON FEE APPLICATIONS